

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT Application of )

Shunpei YAMAZAKI et al. )

Serial No. 09/917,633 )

Filed: July 31, 2001 )

For: SEMICONDUCTOR DEVICE AND )

METHOD OF FABRICATING THE SAME )



) Group Art Unit: 2811

) Examiner: S. Hu

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 21, 2002.

*Pamela L. Patrick*  
Pamela L. Patrick

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached Form PTO-1449 be made of record in the above-identified application.

The references listed on the attached Form PTO-1449 were cited in the corresponding Japanese Patent Application, in an Office Action forwarded on January 8, 2002.

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (740756-2345).

Respectfully submitted,

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Form PTO-1449  
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Serial No. 09/917,633

## INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI et al.

Filing Date: July 31, 2001

Group Art Unit: 2811

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	04-100211	04/02/1992	JP			Eng Abst	
	45-022173	07/27/1970	JP			Conc Stmt	
	63-142807	06/15/1988	JP			Full Eng	

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Examiner

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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

03/11/2002